$500M+ Moves: Spartan Intelligence

A Monthly Briefing on Advisor Moves

COVERING OCTOBER 2026 | PUBLISHED NOVEMBER 2026

SPARTAN ADVISORY

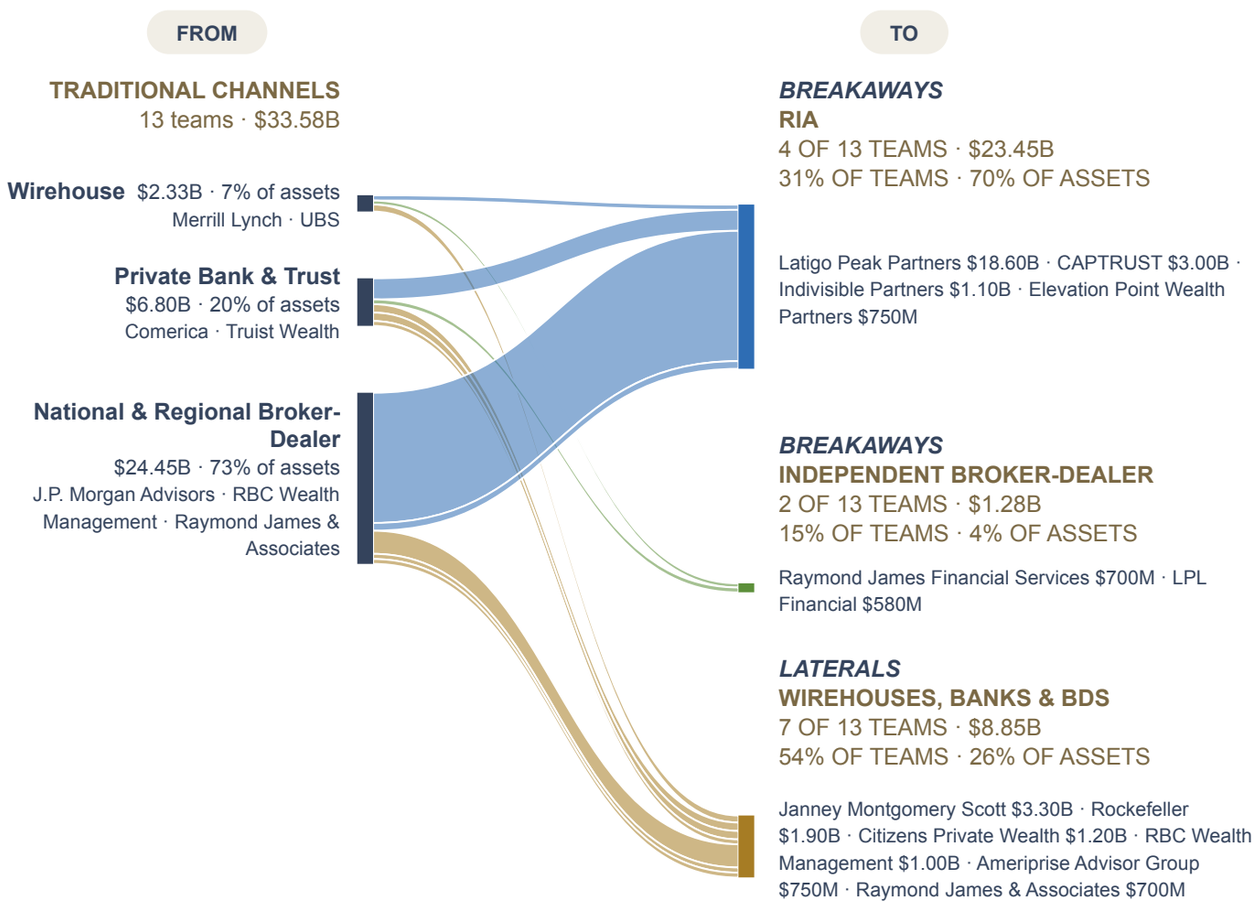
OCTOBER AT A GLANCE

36 verified transactions of $500M or more in October, $67.75 billion in reported assets. Out of wirehouses, banks and broker-dealers: thirteen teams and $33.58 billion. 54% of teams and 26% of assets moved to another wirehouse, bank or broker-dealer. 46% of teams and 74% of assets went independent, 31% of teams to an RIA and 15% of teams to an independent broker-dealer. Out of independent firms: 23 transactions and $34.17 billion. 57% of transactions and 72% of assets were acquisitions. 43% of transactions and 28% of assets were teams that moved to another independent firm.

BREAKAWAYS & LATERALS

13 teams · $33.58B reported assets

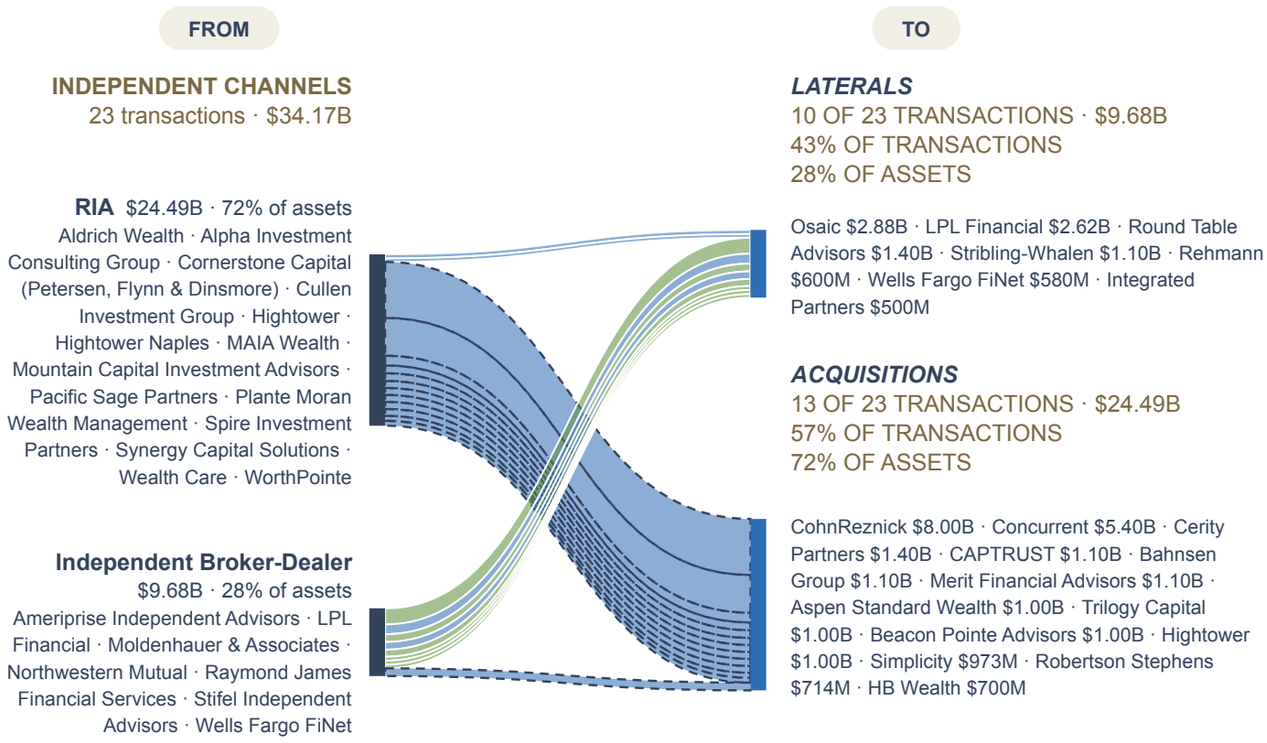
Thirteen teams left wirehouses, banks and broker-dealers in October, $33.58 billion in reported assets between them. 54% of teams and 26% of assets moved to another wirehouse, bank or broker-dealer: Janney Montgomery Scott took the biggest piece, $3.3 billion from Raymond James & Associates, and Rockefeller picked up $1.9 billion across two teams. The other 46% of teams and 74% of assets went independent: Latigo Peak Partners took the biggest piece, $18.6 billion from J.P. Morgan Advisors, and CAPTRUST took $3 billion from Truist Wealth. Truist Wealth was the departure point for two of the six teams. The national and regional broker-dealers were the biggest source at 73% of the assets leaving wirehouses, banks and broker-dealers, with two of those five teams out of J.P. Morgan Advisors.



LATERALS & ACQUISITIONS

23 transactions · $34.17B reported assets

October saw 23 transactions out of independent firms, $34.17 billion in reported assets between them. 57% of transactions and 72% of assets were acquisitions, thirteen deals and $24.49 billion in all, led by CohnReznick's purchase of Aldrich Wealth at $8 billion. The remaining twelve deals averaged $1.37 billion. The other 43% of transactions and 28% of assets were teams that moved to another independent firm: Osaic picked up the most, $2.88 billion across two teams, and LPL Financial picked up $2.62 billion across three teams. RIAs were the biggest source at 72% of the assets leaving independent firms.



2026-10-01 through 2026-10-31 · Verified transactions with at least $500M in reported practice assets. Band width is proportional to reported assets on one shared scale. Counts represent teams or practices. Percentages are shares of the chart's teams or transactions, or of its reported assets, as labelled. Acquisitions use dashed bands.

BREAKAWAYS

J.P. Morgan leaders launch $18.6 billion Latigo Peak

NATIONAL & REGIONAL BROKER-DEALER → STANDALONE RIA · $18,600M REPORTED ASSETS
PALM BEACH GARDENS, FL · **OCT 19, 2026**

Phil Scott and Jim Atwood, who led two of the largest practices at J.P. Morgan Advisors, launched Latigo Peak Partners on October 19 with approximately $18.6 billion in assets under management and 48 professionals, according to the firm's announcement. The self-funded firm is headquartered in Palm Beach Gardens, Florida, with hubs in Bellevue, Washington, and Boston. *Source: Business Wire* ↗



Phil Scott and Jim Atwood (L–R)
Photo: Latigo Peak Partners via Wealth Management

Captrust lands $3 billion Truist team in Winston-Salem

PRIVATE BANK & TRUST → INTEGRATED RIA · $3,000M REPORTED ASSETS
WINSTON-SALEM, NC · **OCT 14, 2026**

Brett Hoge's Hoge-Barrett Group, a 13-person team in Winston-Salem, North Carolina, joined CAPTRUST from Truist Investment Services, CAPTRUST announced on October 14. The team serves individuals and families with more than $3 billion in client assets, according to CAPTRUST. Advisors Alex Barrett, Josh Crane, Aaron Spivey, David Peterson and Harry Cross also joined. Winston-Salem becomes CAPTRUST's seventh office in North Carolina. *Source: Captrust* ↗



Brett Hoge
Photo: Wealth Management

BREAKAWAYS (CONTINUED)

Indivisible Partners adds $1.1 billion RBC team in Baltimore

NATIONAL & REGIONAL BROKER-DEALER → AFFILIATED RIA PRACTICE · $1,100M REPORTED ASSETS
BALTIMORE, MD · **OCT 09, 2026**

Beth Rosenwald, Matthew Kunkel and Aleksandra Kovalerchik moved Rosenwald Private Wealth from RBC Wealth Management to Indivisible Partners, an advisor-owned platform based in Clearwater, Florida, Indivisible announced on October 9. The Baltimore-area team manages approximately $1.1 billion in assets, according to the announcement, and moved with four other team members. The practice traces its roots to Legg Mason, where Rosenwald worked before joining RBC in 2008. *Source: PR Newswire* ↗



The Rosenwald Private Wealth team
Photo: WealthManagement.com

Ex-UBS duo launches $750 million Truing Capital in Las Vegas

WIREHOUSE → AFFILIATED RIA PRACTICE · $750M REPORTED ASSETS · LAS VEGAS, NV · **OCT 20, 2026**

Russell Price and Sam Mineo left UBS, where their team operated as MPI Wealth Management, to launch Truing Capital Advisors in Las Vegas. Elevation Point announced a minority stake in the firm on October 20; Truing serves business owners and families and custodies with Goldman Sachs Custody Solutions. The team oversaw approximately $750 million in assets under supervision at UBS, according to the announcement. *Source: PR Newswire* ↗



Russell Price and Sam Mineo (L–R)
Photo: Wealth Management

BREAKAWAYS (CONTINUED)

Raymond James lands $700 million Truist team in Naples

PRIVATE BANK & TRUST → INDEPENDENT BROKER-DEALER · $700M REPORTED ASSETS
NAPLES, FL · **OCT 23, 2026**

Darrell Brown, Mark Taylor and Jonathan Shaw joined Pinnacle Asset Management, the investment program of Pinnacle Financial Partners, from Truist Investment Services, Raymond James announced on October 23. The team operates as Brown, Taylor, Shaw & Associates in Naples, Florida, and serves clients through Raymond James Financial Services. It manages approximately $700 million in client assets, according to Raymond James, and is joined by client service associate Erin Powers-Westendorf. *Source: Raymond James* ↗

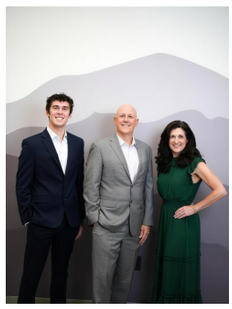


Darrell Brown, Mark Taylor and Jonathan Shaw (L–R)
Photo: Pinnacle Financial Partners

Nick Economos leaves Merrill to launch with LPL

WIREHOUSE → INDEPENDENT BROKER-DEALER · $580M REPORTED ASSETS
DANVILLE, CA · **OCT 13, 2026**

Nick Economos left Merrill Lynch and launched Economos Private Wealth in Danville, California, through LPL Financial's Independent Advisor Network, LPL announced on October 13. He reported serving approximately $580 million in advisory and brokerage assets, according to LPL. Economos joined The Economos Group, the Merrill Lynch practice his father founded in 1994, in 2000. Heather Stickney and Tyler Economos support the practice. *Source: LPL Financial* ↗



The Economos Private Wealth team
Photo: Pulse 2.0

LATERALS

Janney opens Houston with $3.3 billion Raymond James team

NATIONAL & REGIONAL BROKER-DEALER → NATIONAL & REGIONAL BROKER-DEALER
$3,300M REPORTED ASSETS · HOUSTON, TX · **OCT 16, 2026**

Sean Fitzpatrick and Jim Edwards moved the Edwards Fitzpatrick Group from Raymond James to Janney Montgomery Scott on October 16, opening a Janney office in Houston. Financial advisor Christin Simon and client associate Allison Spinelli joined with them. The team managed $3.3 billion in assets, according to AdvisorHub. Edwards started at UBS in 1994 and joined Raymond James in 2003, and Fitzpatrick began his career at Raymond James in 2002. *Source: Janney* ↗



Jim Edwards (left) and Sean Fitzpatrick
Photo: WealthManagement.com

Rockefeller adds $1.2 billion Truist team in Naples

PRIVATE BANK & TRUST → NATIONAL & REGIONAL BROKER-DEALER · $1,200M REPORTED ASSETS
NAPLES, FL · **OCT 16, 2026**

The Kellam Group, led by Gordon Kellam with Matt Caras and Owen Wilson, joined Rockefeller Global Family Office in Naples, Florida, from Truist on October 16. The seven-person team serves high-net-worth families and business owners and reports to Southeast Regional President Kristen Sario. AdvisorHub reported that the team managed $1.2 billion in client assets and produced $7 million in revenue. *Source: Rockco* ↗



Gordon Kellam
Photo: Rockefeller Capital Management

Citizens adds $1.2 billion Newport Beach team

PRIVATE BANK & TRUST → BANK BROKERAGE · $1,200M REPORTED ASSETS
NEWPORT BEACH, CA · **OCT 28, 2026**

Matt Webber and Chris Illiano joined Citizens Private Wealth in Newport Beach, California, where the team now operates as Webber Wealth Partners. The team previously managed more than $1.2 billion in total assets, according to Wealth Management, which reported the move on October 28. Webber was formerly a portfolio management executive at Comerica. The team serves high net worth and ultra high net worth individuals. *Source: Wealth Management* ↗

LATERALS (CONTINUED)

RBC adds $1 billion UBS team in Pittsburgh

WIREHOUSE → NATIONAL & REGIONAL BROKER-DEALER · $1,000M REPORTED ASSETS
PITTSBURGH, PA · **OCT 22, 2026**

Raymond Nepa and Jennifer Susco moved the Nepa/Susco Team from UBS to RBC Wealth Management's Pittsburgh North branch, RBC announced on October 22. The team oversaw more than $1 billion in client assets at UBS, according to RBC Wealth Management. Julia Munchel, Karen Venneri and Max Freed joined with them. The group serves ultra-high-net-worth and affluent individuals, families and business executives. *Source: RBC Wealth Management* ↗



Jennifer Susco, Raymond Nepa and Julia Munchel (L–R)
Photo: Wealth Management

Ameriprise adds $750 million RBC team in Virginia

NATIONAL & REGIONAL BROKER-DEALER → NATIONAL & REGIONAL BROKER-DEALER
$750M REPORTED ASSETS · VIENNA, VA · **OCT 15, 2026**

Matt Lanham and Amanda Catalina moved The GEN Group from RBC to Ameriprise Financial's branch channel in Vienna, Virginia, with over $750 million in client assets, Ameriprise announced on October 15. Client service associates Nicole Aguinaldo and Ari Aguinaldo joined with them. The team said it chose Ameriprise for its technology platform, planning capabilities and advisor support model. *Source: Ameriprise Financial* ↗

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Contact Pete Secret 727 - 692 - 1985

LATERALS (CONTINUED)

Rockefeller lands J.P. Morgan team in Houston

NATIONAL & REGIONAL BROKER-DEALER → NATIONAL & REGIONAL BROKER-DEALER
$700M REPORTED ASSETS · HOUSTON, TX · **OCT 26, 2026**

Sage Wealth Partners, the Houston team led by managing directors Chris Sheets and Forrest Green, joined Rockefeller Global Family Office from J.P. Morgan Securities in October. Private advisors Nick Routzahn, Hayden Kerns and Cameron Sheets moved with them. Barron's reported that the team managed $700 million in assets at J.P. Morgan, where it had worked since leaving Bernstein in 2018. *Source: Barron's*



Chris Sheets
Photo: Rockefeller Capital Management

Raymond James adds $700 million Truist duo in Palm Beach Gardens

PRIVATE BANK & TRUST → NATIONAL & REGIONAL BROKER-DEALER · $700M REPORTED ASSETS
PALM BEACH GARDENS, FL · **OCT 29, 2026**

Lance Longwater and Christopher “Ryan” Haffey joined Raymond James & Associates, the firm's employee advisor channel, from Truist Investment Services, Raymond James announced on October 29. The pair managed approximately $700 million in client assets, according to Raymond James, and operate as Longwater Haffey Group of Raymond James in Palm Beach Gardens, Florida. Senior registered client service associate Traci Havens joined with them. Longwater spent 14 years at his previous firm. *Source: Raymond James*



Lance Longwater and Ryan Haffey (L–R)
Photo: Raymond James

Osaic wins $2.3 billion ICG next from LPL

INDEPENDENT BROKER-DEALER → INDEPENDENT BROKER-DEALER · $2,300M REPORTED ASSETS
WALL TOWNSHIP, NJ · **OCT 20, 2026**

ICG next, the Wall Township, New Jersey wealth manager led by Managing Partner James Costabile, joined Osaic from LPL Financial, Osaic announced on October 20. The firm manages approximately $2.3 billion in client assets, according to Osaic, and includes about 21 financial advisors and a second office in Melville, New York. ICG next plans to keep growing through advisor recruiting and acquisitions. *Source: Osaic / Business Wire*



James Costabile
Photo: Wealth Management

LATERALS (CONTINUED)

Raymond James team launches $1.4 billion RIA in Chattanooga

INDEPENDENT BROKER-DEALER → HYBRID RIA · $1,400M REPORTED ASSETS
CHATTANOOGA, TN · **OCT 13, 2026**

Anthony D'Andrea Jr. and Dennis Wolfe led Round Table Advisors, a team of eight advisors in Chattanooga, Tennessee, out of Raymond James Financial Services to launch their own registered investment adviser on October 13. The team oversees about $1.4 billion in client assets, according to AdvisorHub. Seven of the advisors kept brokerage licenses through Kingswood Capital Partners, and Raymond James remains the custodian. *Source: AdvisorHub* ↗

LPL lands $1.1 billion Praxis team from FiNet

INDEPENDENT BROKER-DEALER → INDEPENDENT BROKER-DEALER · $1,100M REPORTED ASSETS
ALPHARETTA, GA · **OCT 05, 2026**

Scott Christian, Cecil Loyd, Jay Gentry and Matt Dion moved Praxis Financial Partners from Wells Fargo Advisors Financial Network to LPL Financial's broker-dealer and RIA platforms, LPL announced on October 5. The Alpharetta, Georgia practice, founded in 2013, joined with six support staff. LPL said the team reported serving approximately $1.1 billion in advisory, brokerage and retirement plan assets. *Source: LPL Financial* ↗



The Praxis Financial Partners advisors
Photo: Wealth Management

LATERALS (CONTINUED)

Stribling-Whalen leaves Raymond James to launch $1.1 billion RIA

INDEPENDENT BROKER-DEALER → STANDALONE RIA · $1,100M REPORTED ASSETS
GAINESVILLE, GA · **OCT 27, 2026**

Brian Whalen and the Stribling-Whalen Financial Group of Gainesville, Georgia, left Raymond James Financial Services and launched an independent registered investment adviser with Fidelity Investments as custodian, the firm announced on October 27. Whalen and his team managed more than $1.1 billion in client assets across more than 350 households at their prior firm, according to the announcement. Warren Stribling founded the firm in 1975. *Source: PR Newswire* ↗



The Stribling-Whalen Financial Group team
Photo: Wealth Management

LATERALS (CONTINUED)

LPL adds $1 billion Northwestern Mutual team in Seattle

INDEPENDENT BROKER-DEALER → INDEPENDENT BROKER-DEALER · $1,000M REPORTED ASSETS
SEATTLE, WA · **OCT 14, 2026**

Prince Financial Services, the Seattle firm founded by Julie Prince and Dave Burnett, joined LPL Financial's broker-dealer, RIA and custodial platforms from Northwestern Mutual, LPL announced on October 14. The firm reported serving approximately $1 billion in advisory, brokerage and retirement plan assets, according to LPL. Partners Cade Mossman, Conner Gehring and David DeYoung lead the firm with Prince and Burnett. *Source: LPL Financial* ↗



The Prince Financial Services team
Photo: Wealth Management

Rehmann adds $600 million Plante Moran advisor in West Michigan

STANDALONE RIA → STANDALONE RIA · $600M REPORTED ASSETS · GRAND RAPIDS, MI · **OCT 19, 2026**

Caitlyn Gimler joined Rehmann's wealth management practice in West Michigan from Plante Moran Wealth Management, effective October 5, Rehmann announced on October 19. At Plante Moran she advised clients representing more than $600 million in assets under management, according to Rehmann. She will partner with Rehmann principal Mandy Chardoul, who also came from Plante Moran, serving families and business owners across the region. *Source: Rehmann* ↗



Caitlyn Gimler
Photo: DBusiness

LATERALS (CONTINUED)

FiNet adds $580 million Ameriprise team in Los Angeles

INDEPENDENT BROKER-DEALER → INDEPENDENT BROKER-DEALER · $580M REPORTED ASSETS
LOS ANGELES, CA · **OCT 08, 2026**

The Orchard Financial Group, an Ameriprise franchisee team in Los Angeles led by Anthony Perez, Audre Schmedes, Eric Smutko and Michael Kawase, joined Wells Fargo Advisors Financial Network on October 7. Three more advisors and four support staff moved with them. AdvisorHub reported that the team managed $580 million in assets and produced $4.3 million in annual revenue. *Source: Financial Advisor* ↗

Freehold Wealth Management joins Osaic with $576 million

INDEPENDENT BROKER-DEALER → INDEPENDENT BROKER-DEALER · $576M REPORTED ASSETS
LANDRUM, SC · **OCT 22, 2026**

Freehold Wealth Management, a family-led firm in Landrum, South Carolina, joined Osaic from Stifel Independent Advisors with approximately $576 million in client assets, Osaic announced on October 22. The firm is led by President Cindy Boyle, John Boyle and Managing Partner Mary Steele, the Boyles' daughter, and has added financial professionals serving clients in Wisconsin, Michigan and North Carolina. Freehold first affiliated with Osaic in April. *Source: Osaic / Business Wire* ↗

LPL recruits $525 million Northwestern Mutual team near Atlanta

INDEPENDENT BROKER-DEALER → INDEPENDENT BROKER-DEALER · $525M REPORTED ASSETS
VININGS, GA · **OCT 29, 2026**

Anchor Financial Partners, a six-advisor practice in Vinings, Georgia, joined LPL Financial's broker-dealer and RIA platforms from Northwestern Mutual, LPL announced on October 29. The team reported serving approximately $525 million in advisory, brokerage and retirement plan assets, according to LPL. Frank Conner, Andrew Runnion, Josh Eisenberg, Brandon Porter, Kyle Brooker and Trey Beavers lead the practice. *Source: LPL Financial* ↗

LATERALS (CONTINUED)

Perennial leaves Hightower to launch with Integrated Partners

INTEGRATED RIA → AFFILIATED RIA PRACTICE · $500M REPORTED ASSETS
ELMHURST, IL · **OCT 14, 2026**

Six advisors and two operating partners left Hightower Advisors to launch Perennial Wealth Partners, with Integrated Partners as their registered investment adviser, the team announced on October 14. The advisors, Ben Audley, Sam Awad, Greg Bogdan, Mary Beth McLean, Brady Peat and Carrie Shoener, collectively manage more than $500 million in client assets, according to the announcement. The Chicago-area team had worked together for years before forming Perennial. *Source: PR Newswire* ↗

ACQUISITIONS

CohnReznick to buy $8 billion Aldrich Wealth

STANDALONE RIA → STANDALONE RIA · ACQUISITION · $8,000M REPORTED ASSETS
LAKE OSWEGO, OR · **OCT 14, 2026**

CohnReznick agreed to acquire the Aldrich Group of Companies of Lake Oswego, Oregon, including Aldrich Wealth, a fee-based RIA led by CEO Heather Wonderly, Wealth Management reported on October 14. Aldrich Wealth, with about $8 billion in assets under management and advisement, becomes the platform for CohnReznick's wealth division. The deal is slated to close in mid-December. *Source: Wealth Management* ↗



David Kessler of CohnReznick and Heather Wonderly of Aldrich Wealth (L–R)
Photo: Wealth Management

Concurrent buys $5.4 billion platform Spire Investment Partners

AFFILIATED RIA PRACTICE → AFFILIATED RIA PRACTICE · ACQUISITION · $5,400M REPORTED ASSETS
MCLEAN, VA · **OCT 01, 2026**

Concurrent Investment Advisors acquired Spire Investment Partners, a McLean, Virginia hybrid RIA serving independent financial professionals, in an asset purchase that closed on September 30, Concurrent announced on October 1. Spire brings approximately $5.4 billion in total assets and more than 30 advisor teams, according to the announcement. David Blisk founded Spire in 1997. The deal is Concurrent's first acquisition of another platform business at scale. *Source: Concurrent / Business Wire* ↗



David Blisk, Spire founder and CEO, and Nate Lenz, Concurrent CEO (L–R)
Photo: Wealth Management

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ACQUISITIONS (CONTINUED)

Cerity Partners merges with $1.4 billion Palo Alto firm

STANDALONE RIA → INTEGRATED RIA · ACQUISITION · $1,400M REPORTED ASSETS
PALO ALTO, CA · **OCT 01, 2026**

Cerity Partners merged with Cornerstone Capital, a Palo Alto, California firm with $1.4 billion in assets under management, according to Financial Advisor, in a deal announced on October 1. Founded in 1978 as Petersen, Flynn & Dinsmore, Cornerstone serves wealthy individuals and families as well as charitable foundations and endowments. Bradford Dinsmore, Mason Ford and M. Bradford Branson own the firm in equal shares, according to its Form ADV. *Source: Financial Advisor* ↗

Merit acquires $1.1 billion Moldenhauer & Associates

INDEPENDENT BROKER-DEALER → INTEGRATED RIA · ACQUISITION · $1,100M REPORTED ASSETS
ORCHARD PARK, NY · **OCT 07, 2026**

Merit Financial Advisors acquired Moldenhauer & Associates, an Orchard Park, New York wealth management firm led by Brett Moldenhauer. The firm oversees approximately $1.1 billion in client assets for nearly 1,900 households, according to Merit's announcement. Moldenhauer and the firm's 12 employees join Merit and stay in their Orchard Park office. The firm is the 10th practice formerly affiliated with Commonwealth Financial Network to join Merit. *Source: Merit Financial Advisors / PR Newswire* ↗

CAPTRUST adds $1.1 billion Pacific Sage Partners

STANDALONE RIA → INTEGRATED RIA · ACQUISITION · $1,100M REPORTED ASSETS
EDMONDS, WA · **OCT 29, 2026**

CAPTRUST acquired Pacific Sage Partners, the Edmonds, Washington firm led by founder and CEO Mark Anderson, the firm announced on October 29. Anderson founded the RIA in 2022 after 19 years at Edward Jones. The eight-person team advises on approximately $1.1 billion in client assets, according to CAPTRUST, and adopts the CAPTRUST brand. *Source: Captrust* ↗



Mark Anderson
Photo: Pacific Sage Partners

ACQUISITIONS (CONTINUED)

The Bahnsen Group takes in $1.1 billion Hightower Naples

AFFILIATED RIA PRACTICE → AFFILIATED RIA PRACTICE · ACQUISITION · $1,100M REPORTED ASSETS
NAPLES, FL · **OCT 06, 2026**

Hightower Naples, the Naples, Florida practice founded and led by David Emma and Mark Masterson, is joining The Bahnsen Group, a Hightower company, and will operate under the TBG brand, Hightower announced on October 6. The practice oversees approximately $1.1 billion in assets under management, according to Hightower, and serves more than 100 families. It becomes TBG's second Florida location, and the transaction is expected to close at year end. *Source: Bahnsen Group / Business Wire* ↗



David Emma and Mark Masterson (L–R)
Photo: Hightower Naples

Aspen Standard Wealth buys $1 billion Louisiana RIA

STANDALONE RIA → STANDALONE RIA · ACQUISITION · $1,000M REPORTED ASSETS
LAFAYETTE, LA · **OCT 06, 2026**

Aspen Standard Wealth acquired Cullen Investment Group, a Lafayette, Louisiana RIA with more than $1 billion in assets under management and a second office in Crowley, Aspen announced on October 6. Founder David Bordes and Stephen Nickel were the firm's principal owners, according to Wealth Management. Nickel, who leads the practice after the deal, said the two began succession planning almost a decade ago, according to AdvisorHub. *Source: Aspen Standard Wealth / Business Wire* ↗



Stephen Nickel
Photo: Wealth Management

ACQUISITIONS (CONTINUED)

Trilogy buys $1 billion aviation-focused RIA in Colorado

STANDALONE RIA → HYBRID RIA · ACQUISITION · $1,000M REPORTED ASSETS
WESTMINSTER, CO · **OCT 08, 2026**

Trilogy Capital acquired Mountain Capital Investment Advisors, a Westminster, Colorado registered investment adviser with over $1 billion in total client assets, Trilogy announced on October 8. Founded in 2011 by former airline pilot and Marine Corps veteran Brandt Burns, the firm focuses on aviation professionals and will keep its brand, leadership and staff. The deal is Trilogy's largest of the year and gives it a second Colorado location. *Source: Trilogy Capital / PR Newswire*



Brandt Burns
Photo: WealthManagement.com

Beacon Pointe acquires $1 billion WorthPointe

STANDALONE RIA → INTEGRATED RIA · ACQUISITION · $1,000M REPORTED ASSETS
AUSTIN, TX; SAN DIEGO, CA · **OCT 20, 2026**

Beacon Pointe Advisors acquired WorthPointe Financial Planners, a planning and investment advisory firm with offices in Austin and Tyler, Texas, and San Diego, it announced on October 20. WorthPointe partner Anthony Ferreira said the deal gives the team expanded resources. Beacon Pointe said WorthPointe oversees approximately $1 billion in client assets. *Source: Beacon Pointe Advisors / PR Newswire*

Hightower Signature Wealth adds $1 billion Synergy Capital Solutions

AFFILIATED RIA PRACTICE → INTEGRATED RIA · ACQUISITION · $1,000M REPORTED ASSETS
BLOOMFIELD HILLS, MI · **OCT 08, 2026**

Hightower added Synergy Capital Solutions, a Bloomfield Hills, Michigan practice that first partnered with Hightower in 2017, to Hightower Signature Wealth, Hightower announced on October 8. Synergy brings approximately $1 billion in assets under management, according to Hightower, and a team of 15 professionals including seven advisors, with offices in the Bloomfield Hills, Naples, Florida, and Lebanon, Pennsylvania areas. Mark Burns is Synergy's chief executive, chief investment officer and co-founder. *Source: Hightower / Business Wire*



Mark Burns
Photo: Wealth Management

ACQUISITIONS (CONTINUED)

Simplicity Group acquires Denver firm MAIA Wealth

STANDALONE RIA → INTEGRATED RIA · ACQUISITION · $973M REPORTED ASSETS
DENVER, CO · **OCT 14, 2026**

Simplicity Group acquired MAIA Wealth, a Denver independent advisory firm, Simplicity announced on October 14. MAIA co-founders Scott Marek, Chandler te Velde and Desmond Dimond move to the new Simplicity Wealth Advisors: Denver. MAIA Wealth reported $973 million in regulatory assets under management on its Form ADV filed in May 2026. *Source: Simplicity / PR Newswire* ↗



Scott Marek
Photo: MAIA Wealth

Robertson Stephens enters Milwaukee with $714 million consultant

STANDALONE RIA → INTEGRATED RIA · ACQUISITION · $714M REPORTED ASSETS
MILWAUKEE, WI · **OCT 20, 2026**

Robertson Stephens combined with Alpha Investment Consulting Group, a Milwaukee institutional consulting firm, the firm announced on October 20. Bob Bukowski joins as managing director and principal and will open its Milwaukee office with Patrick Pierce, Tony Jones, Dan Strasser, Julia Ramer and Bailey Jordan. Alpha brings $714 million in assets under management, according to Financial Advisor, lifting Robertson Stephens above $10 billion. *Source: Robertson Stephens / GlobeNewswire* ↗



Bob Bukowski
Photo: Robertson Stephens

ACQUISITIONS (CONTINUED)

HB Wealth enters Texas with $700 million Wealth Care

STANDALONE RIA → INTEGRATED RIA · ACQUISITION · $700M REPORTED ASSETS
AUSTIN, TX · **OCT 01, 2026**

HB Wealth added Wealth Care, the fee-only RIA founded in 2002 by Steven Podnos, with his daughters Rachel Podnos O'Leary and Lauren Podnos-Garner, on October 1. The firm specializes in physicians and other healthcare professionals and establishes HB Wealth in Austin, with Steven also working from Cocoa Beach, Florida. HB Wealth said Wealth Care oversaw approximately $700 million in assets. *Source: HB Wealth* ↗



Lauren Podnos-Garner, Steven Podnos and Rachel Podnos O'Leary (L–R)
Photo: HB Wealth

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